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(21) International Application Number: PCT/US98/11975 (22) International Filing Date: 10 June 1998 (10.06.98) (30) Priority Data: 60/050,969 19 June 1997 (19.06.97) US (71) Applicant: THE REGENTS OF THE UNIVERSITY OF CALIFORNIA [US/US]; 5th floor, 1111 Franklin Street, Oakland, CA 94607-5200 (US). (72) Inventors: MORRISON, Sherie, L.; 258 Denslow Avenue, Los Angeles, CA 90049 (US). CHINTALACHARUVU, Kote, R.; Apartment 202, 3241 S. Sepulveda Boulevard, Los Angeles, CA 90034 (US). (74) Agent: CANADY, Karen, S.; Merchant, Gould, Smith, Edell, Welter & Schmidt, Suite 400, 11150 Santa Monica Boulevard, Los Angeles, CA 90025-3395 (US).		(81) Designated States: AL, AM, AT, AT (Utility model), AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, CZ (Utility model), DE, DE (Utility model), DK, DK (Utility model), EE, EE (Utility model), ES, FI, FI (Utility model), GB, GE, GH, GM, GW, HU, ID, IL, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SK (Utility model), SL, TJ, TM, TR, TT, UA, UG, UZ, VN, YU, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG).  Published With international search report. Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.	
(54) Title: SECRETORY IMMUNOGLOBULIN PRODUCED BY SINGLE CELLS AND METHODS FOR MAKING AND USING SAME  <p>5' -CAG GAG CCC AGG CTT TTT GCA GAG TAG GAA TTC- 3'</p> <p>Gln Asp Pro Arg Leu Phe Ala Glu *** EcoR I</p> <p>Modified 3' sequence of human SC</p>			
(57) Abstract  Disclosed is a method of producing secretory Ig molecules. The method comprises transfecting a cell producing an Ig with a polynucleotide encoding an SC to form SC transfected Ig producing cells. Secretory Ig molecules, such as secretory IgA, can be used to treat or prevent infection.			

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SECRETORY IMMUNOGLOBULIN PRODUCED BY SINGLE CELLS AND  
METHODS FOR MAKING AND USING SAME

- 5 This application is based on United States provisional application serial number  
60/050,969, filed June 19, 1997, the contents of which are incorporated herein by  
reference. Throughout this application various publications are referenced. The  
disclosures of these publications in their entireties are hereby incorporated by reference  
into this application in order to more fully describe the state of the art to which this  
10 invention pertains.

This invention was made with government support under grants CA16858, A129470  
and A139187, awarded by the National Institutes of Health. The United States  
government has certain rights in this invention.

FIELD OF THE INVENTION

- 15 The present invention relates to secretory Ig molecules produced by a single cultured  
cell. The invention also relates to methods of producing and using the secretory Ig  
molecules.

BACKGROUND OF THE INVENTION

- 20 Secretory IgA (sIgA) is found in external secretions such as colostrum, respiratory and  
intestinal mucin, saliva, tears and genitourinary tract mucin and is often the first line of  
defense against infectious agents.

- Monoclonal antibodies, specific for different diseases are available to combat infection.  
However, these monoclonal antibodies are predominantly of the IgG and IgM  
subclasses, which can be injected into a patient after an infection has been contracted.  
25 Monoclonal IgA would be a preferred agent and could be used for treatment and to  
prevent an infection before it enters the body of the host. Currently available  
monoclonal IgA is of limited therapeutic use since stable, secretory forms can only be

produced in limited amounts and the non-secretory forms are unstable with relatively short half-lives *in vivo*.

IgA occurs in various polymeric forms including monomers ( $H_2L_2$ ), dimers ( $H_4L_4$ ) and even higher multimers ( $H_{2n}L_{2n}$ ). In addition to heavy and light chains, the polymeric  
5 forms of IgA also usually contain J chains. The heavy, light and J chains are all produced by a lymphoid cell. Secretory IgA found at the mucosal surface also contains a secretory component (SC) which is attached during transport of the IgA across the epithelial lining of mucosal and exocrine glands into external secretions.

*In vivo*, sIgA is the product of two different cell types, the plasma cell and the epithelial  
10 cell. Plasma cells synthesize and assemble  $\alpha$  H-chains and L chains with J chains into polymeric IgA. The polymeric IgA secreted by the plasma cell binds to a polymeric Ig receptor (pIgR) expressed on the basolateral surface of the mucosal epithelium. The IgA-pIgR complex is transcytosed to the apical surface. During transit, a disulfide bond is formed between the IgA and the pIgR. At the apical surface, the IgA molecule is  
15 released by proteolytic cleavage of the receptor. This cleavage results in a fragment, approximately 70,000 molecular weight, being retained on the IgA molecule. This fragment is the SC fragment, which is attached by disulfide bonds to the IgA molecule. The IgA-SC complex is thereby released into external secretions.

Passive administration of IgA could provide protection against a wide range of  
20 pathogens including bacteria and viruses such as HIV and respiratory syncytial virus. Hybridoma produced IgA antibodies applied directly to mucosal surfaces or transported into external secretions after injection into blood are protective, but have been found to be rapidly degraded (Mazanec *et al.*, *J. Virol.* **61** 2624, 1987; Mazanec *et al.*, *J. Immunol.* **142** 4275, 1989; Renegar *et al.*, *J. Immunol.* **146** 1972, 1991). *In vitro*, sIgA  
25 is more resistant to proteases than serum IgA (Brown *et al.*, *J. Clin. Invest.* **49** 1374, 1970; Lindh, *J. Immunol.* **114** 284, 1975) suggesting that sIgA would be a more effective molecule for therapeutic use. However, co-culture systems containing hybridomas and polarized monolayers of epithelial cells (Hirt *et al.*, *Cell* **74** 245-255, 1993) and *in vitro* mixing of purified polymeric IgA (pIgA) and SC (Lullau *et al.*, *J. Biol. Chem.* **271** 16300, 1996) have succeeded in producing only analytical quantities of  
30 sIgA.

Methods to purify large quantities of dimeric IgA (dIgA) and SC have been developed and noncovalent association of dIgA and SC has been shown by mixing dIgA and SC. However, the formation of disulfide bonds between dIgA and SC *in vitro* was inefficient. While the initial association between pIgA and SC is noncovalent, subsequent covalent association between IgA and SC requires cellular enzymes.

*Nicotiana tabacum* plants producing sIgA have been produced by successive sexual crossing of four transgenic *Nicotiana tabacum* plants producing: murine  $\kappa$  L chain; a hybrid Ig H chain containing an  $\alpha$  chain with an additional IgG CH<sub>2</sub> domain; murine J chain; and rabbit SC. (Ma *et al.*, *Science* 268 716-719, 1995). Though the assembly of sIgA in plants has been demonstrated, plant cells attach different sugar residues to proteins than do mammalian cells. This difference in glycosylation patterns may influence the biological properties of sIgA *in vivo*. In addition, the SC bound to IgA in the plant cells has been shown to be only 50 kDa, which is about 15-20 kDa lower than the expected molecular weight. These results suggest the SC fragment had undergone proteolytic degradation.

There is a need for a method of converting IgA produced in cell cultures, to sIgA which is more stable and more resistant to proteolytic attack. This sIgA should be able to be produced in amounts which make commercial production of the antibody for therapeutic use practical.

20

#### SUMMARY OF THE INVENTION

The invention provides a method for producing secretory Ig (sIg) molecules. The method permits the production of large quantities of sIg in a form which is stable and resistant to proteolysis. In addition, the method does not require the use of more than one cell type to produce the sIg. In one embodiment, the method comprises transfecting a cell producing an Ig with a polynucleotide encoding secretory component (SC) to form SC transfected Ig producing cells. The method can further comprise collecting, and optionally, purifying, a supernatant produced by the cell.

The secretory Ig and SC can be derived from the same species or from different species. In one embodiment, the cell endogenously produces Ig, while in an alternative embodiment, the cell is genetically modified to produce Ig. In one embodiment, the SC comprises the amino acid sequence shown in SEQ ID NO:4 or a congener thereof.

30

The cell can be a mammalian, avian, insect, bacterial or yeast cell. Examples of mammalian cells include, but are not limited to, human, rabbit, rodent (e.g., mouse, rat) and bovine cells. In preferred embodiments, the cell is a myeloma cell, chinese hamster ovary (CHO) cell, L cell, COS cell, fibroblast, MDCK cell, HT29 cell or a T84 cell.

- 5 The Ig molecule can be an IgA, IgM, IgG, IgD or IgE. Preferably, the Ig molecule is an IgA. The Ig molecule can be a domain-modified Ig molecule. Examples of domain-modified Ig molecules include, but are not limited to, an IgA molecule having the C<sub>H</sub>2 domain of an IgG molecule, or an IgG molecule having the tailpiece of an IgM molecule. The Ig molecule can be modified by site-directed mutagenesis.
- 10 The invention provides a secretory Ig molecule produced by the method of the invention. In a preferred embodiment, the secretory Ig molecule is a secretory IgA.

The invention also provides a pharmaceutical composition comprising a secretory Ig molecule produced by the method of the invention and, optionally, a pharmaceutically acceptable carrier. In a preferred embodiment, the secretory Ig molecule is a secretory  
15 IgA.

The invention additionally provides a method of preventing infection in a subject comprising administering a secretory Ig molecule or composition of the invention to the subject. The subject can be a mammal, bird or fish. In one embodiment, the subject is a human. In one embodiment, the infection to be prevented is systemic or at a mucosal  
20 surface. The infection can be a bacterial, viral, mycoplasmal, mycobacterial, yeast or parasitic infection. Examples of viral infections include, but are not limited to, a human immunodeficiency virus (HIV), respiratory syncytial virus (RSV), herpes simplex virus (HSV), flu virus or cold virus infection.

Also provided is a method of treating an infection in a subject comprising administering  
25 a secretory Ig molecule or composition of the invention to the subject. The subject can be a mammal or bird. In one embodiment, the subject is a human. In one embodiment, the infection to be prevented is systemic or at a mucosal surface. The infection can be a bacterial, viral, mycoplasmal, mycobacterial, yeast or parasitic infection. Examples of viral infections include, but are not limited to, infection with a human

immunodeficiency virus (HIV), respiratory syncytial virus (RSV), herpes simplex virus (HSV), flu virus or cold virus.

### BRIEF DESCRIPTION OF THE DRAWINGS

Figure 1 shows the assembly of chimeric sIgA in Sp2/O cells.

- 5     Figure 1A is a schematic representation of a human SC expression vector containing genes for histidinol and ampicillin resistance as well as a 1.82 kb human SC coding sequence.

Figure 1B shows the results of pulse-chase experiments used to analyze the assembly and secretion of SC. The molecular mass protein standards are indicated on the left, the  
10     positions of sIgA, SC,  $\alpha$ ,  $\kappa$  and J chain are indicated at the right.

Figure 1C shows an analysis of immunoprecipitates in 12.5% (w/v acrylamide) Tris-Glycine gels under reducing conditions. The molecular mass protein standards are indicated on the left, the positions of sIgA, SC,  $\alpha$ ,  $\kappa$  and J chain are indicated at the right.

- 15     Figure 2A shows an analysis of the composition of proteins secreted by transfectants synthesizing chimeric sIgA1. Three hundred microliters of 100-fold concentrated serum free medium was separated on two Pharmacia Superose 6 columns in series. The solid line indicates the protein profile at 280nm. The fractions were analyzed by ELISA with IgA captured on dansylated-bovine serum albumin (DNS-BSA) coated microtiter  
20     plates and detected with rabbit anti- $\kappa$  ( $\square$ ,  $\blacksquare$ ) or anti-SC (O,  $\bullet$ ) followed by goat anti-rabbit antibody conjugated to alkaline-phosphatase and substrate. The closed symbols ( $\bullet$ ,  $\blacksquare$ ) indicate the sIgA fractions and the open symbols (O,  $\square$ ) indicate the IgA1 fractions. The presence of dIgA and mIgA was confirmed by analysis of the fractions by SDS-PAGE.

- 25     Figure 2B shows an analysis similar to that shown in Figure 2A, but of the composition of proteins secreted by transfectants synthesizing IgA1.

Figure 3A shows a western blot analysis of FPLC fractions. Fractions I, II, and III from the FPLC analysis shown in Figure 2 were separated by SDS-PAGE in 6% (w/v

acrylamide) Tris-Glycine gels and analyzed by Western blotting (Chintalacharuvu *et al.*, *J. Immunol* 157 3443, 1996). Blots were probed with rabbit anti- $\alpha$  chain (Sigma Imm. Co.). Included for comparison are supernatants from transfectants synthesizing only IgA1, transfectants synthesizing only human SC and unfractionated culture supernatant from the cell line synthesizing sIgA.

Figure 3B shows a western blot analysis similar to that shown in Figure 3A, except that blots were probed with rabbit anti-SC.

Figure 4A shows *in vivo* stability of sIgA.  $^{125}\text{I}$ -labeled dIgA ( $\square$ ) and sIgA ( $\Delta$ ) were introduced directly into the stomach of BALB/c mice by intubation through polyethylene tubing attached to an 18-gauge needle on a hypodermic syringe. IgA remaining in the mice was determined by whole body counting.

Figure 4B shows *in vivo* stability of sIgA. After 150 min., a mouse intubated with dIgA (lanes 3 and 6) and a mouse intubated with sIgA (lanes 4 and 7) were sacrificed and the intestinal washings isolated and processed. IgA from the intestinal washes was immunoprecipitated with either anti- $\alpha$  and anti- $\kappa$  antibodies (lanes 3 and 4) or with DNS-BSA-Sepharose (lanes 6 and 7). For comparison, mice injected intravenously with radiolabeled dIgA were sacrificed after 3 hrs and the antigen specific IgA was precipitated from the intestinal washes as above (lane 5). Half of the precipitated proteins were analyzed by SDS-PAGE in phosphate gels. The gels were dried and exposed to Amersham Hyperfilm-MP for 48 hours. Also shown are the iodinated dIgA (lane 1) and sIgA (lane 2) used to intubate. The molecular mass protein standards are indicated on the left, the positions of sIgA, dIgA, mIgA and Fab and Fc are indicated at the right.

#### DETAILED DESCRIPTION

The invention provides a method for producing secretory Ig (sIg) molecules. The method permits the production of large quantities of sIg in a form which is stable and resistant to proteolysis. In addition, the method does not require the use of more than one cell type to produce the sIg. In one embodiment, the method comprises transfecting a cell producing an Ig with a polynucleotide encoding secretory component (SC) to form



SC transfected Ig producing cells. The method can further comprise collecting, and optionally, purifying, a supernatant produced by the cell.

### Definitions

5 All scientific and technical terms used in this application have meanings commonly used in the art unless otherwise specified. As used in this application, the following words or phrases have the meanings specified.

As used herein, "secretory Ig molecule" or "sIg" means an immunoglobulin molecule to which secretory component (SC) or a congener thereof is bound. The Ig molecule can be an IgA, IgM, IgG, IgD or IgE. IgA includes IgA1 and IgA2.

10 As used herein, "domain-modified Ig" means an immunoglobulin molecule having a substitution, deletion, duplication or rearrangement of substantially all of the amino acids of at least one of the domains of a constant region, including modification by site-directed mutagenesis. Examples of domain-modified Ig molecules include, but are not limited to, an IgA molecule having the C<sub>H</sub>2 domain of an IgG molecule, or an IgG  
15 molecule having the tailpiece of an IgM or IgA molecule. Methods of preparing domain-modified Ig molecules are described in WO 89/07142.

As used herein, "secretory component" or "SC" means a protein fragment corresponding to the ectoplasmic domain of an IgA receptor. (The domains of SC are described in J.F. Piskurich et al., 1995, J. Immunol. 154:1735-1747.) In preferred embodiments, the SC  
20 is derived from a human or other mammal. In one embodiment, the SC has the amino acid sequence shown in SEQ ID NO:4.

As used herein, "congener" of SC means an SC molecule having one or more amino acid substitutions or deletions in the amino acid sequence shown in SEQ ID NO:4, yet retaining the ability to associate with an Ig molecule. The association can be a covalent  
25 bond or a non-covalent interaction. For example, one skilled in the art will appreciate that a deletion of all or a portion of one of the 5 domains of the amino acid sequence shown in SEQ ID NO:4 would not interfere with SC association with an Ig molecule. The domains of SC are described in J.F. Piskurich et al., 1995, J. Immunol. 154:1735-1747. Other variations of SC are possible.

As used herein, "vector" means a construct which is capable of delivering, and preferably expressing, one or more gene(s) or sequence(s) of interest in a host cell. Examples of vectors include, but are not limited to, viral vectors, naked DNA or RNA expression vectors, DNA or RNA expression vectors associated with cationic  
5 condensing agents, DNA or RNA expression vectors encapsulated in liposomes, and certain eukaryotic cells, such as producer cells.

As used herein, "expression control sequence" means a nucleic acid sequence which directs transcription of a nucleic acid. An expression control sequence can be a promoter, such as a constitutive or an inducible promoter, or an enhancer. The  
10 expression control sequence is operably linked to the nucleic acid sequence to be transcribed.

The term "nucleic acid" or "polynucleotide" refers to a deoxyribonucleotide or ribonucleotide polymer in either single- or double-stranded form, and unless otherwise limited, encompasses known analogs of natural nucleotides that hybridize to nucleic  
15 acids in a manner similar to naturally-occurring nucleotides. Unless otherwise indicated, a particular nucleic acid sequence optionally includes the complementary sequence.

As used herein, "pharmaceutically acceptable carrier" includes any material which, when combined with an Ig, allows the Ig to retain biological activity and is non-reactive with  
20 the subject's immune system. Examples include, but are not limited to, any of the standard pharmaceutical carriers such as a phosphate buffered saline solution, water, emulsions such as oil/water emulsion, and various types of wetting agents. Preferred diluents for aerosol or parenteral administration are phosphate buffered saline or normal (0.9%) saline.

#### 25 Methods of Producing sIg

To produce SC in cells producing Ig, a polynucleotide which encodes the ectoplasmic domain (SC) of an IgA receptor is used. The polynucleotide preferably lacks the region encoding the transmembrane and the cytoplasmic domains of the IgA receptor. The polynucleotide can be modified and still encode SC or a congener thereof. In one  
30 embodiment, the polynucleotide encodes an SC having the amino acid sequence shown in SEQ ID NO:4. In one embodiment, the polynucleotide has the sequence shown in

SEQ ID NO:3. In one embodiment, the coding sequence of the fragment has a silent mutation upstream of Glu589 (equivalent to Glu607 of SEQ ID NO:4) to delete a BamHI site in the SC coding region. A stop codon can be included downstream of Glu589, at amino acid 590, the position of normal SC processing. Those skilled in the art can  
5 identify and construct polynucleotides which encode congeners of the SC molecule that retain desired features of the parent molecule, e.g., ability to bind Ig molecules.

For example, it is a well-established principle of protein chemistry that certain amino acid substitutions, referred to as conservative amino acid substitutions, can frequently be made in a protein without altering either the conformation or the function of the protein.  
10 Such changes include substituting any of isoleucine (I), valine (V), and leucine (L) for any other of these hydrophobic amino acids; aspartic acid (D) for glutamic acid (E) and vice versa; glutamine (Q) for asparagine (N) and vice versa; and serine (S) for threonine (T) and vice versa. Other substitutions can also be considered conservative, depending on the environment of the particular amino acid and its role in the three-dimensional  
15 structure of the protein. For example, glycine (G) and alanine (A) can frequently be interchangeable, as can alanine and valine (V).

Methionine (M), which is relatively hydrophobic, can frequently be interchanged with leucine and isoleucine, and sometimes with valine. Lysine (K) and arginine (R) are frequently interchangeable in locations in which the significant feature of the amino acid  
20 residue is its charge and the differing pK's of these two amino acid residues are not significant. Still other changes can be considered conservative in particular environments.

For expression, the polynucleotide is cloned into an expression vector. Such vectors are well known to those skilled in the art. An expression control sequence, such as an Ig or  
25 viral promoter, is introduced upstream of the polynucleotide, and a polyA<sup>+</sup> signal is introduced downstream of the polynucleotide. Selection markers such as the *his* gene, or other suitable selectable marker well known to those skilled in the art, are included in the vector to allow selection of cells which are expressing the genes included on the vector after transfection of the vector into cells.

30 In use, the expression vector including the SC is transfected into cells expressing Ig, that may be expressed from endogenous genes. Alternatively, the genes necessary for

expression of Ig may be introduced by gene transfection either before or after transfection with an SC vector. Transfection methods are well known in the art and such methods are suitable for use in the present invention. The cells expressing the expression vector are selected using the selectable marker incorporated into the  
5 expression vector or a vector used for co-transfection. Cells expressing the SC and the SC covalently bound to the Ig can be screened by ELISA assays or other suitable methods well known to those skilled in the art.

Secretory Ig, such as sIgA, is secreted into the media of the cell cultures which have been transfected with the expression vector. The media are collected and the sIg is  
10 purified from the media by methods well known to those skilled in the art.

The secretory Ig and SC can be derived from the same species or from different species. In one embodiment, the cell endogenously produces Ig, while in an alternative embodiment, the cell is genetically modified to produce Ig. Examples of cells that endogenously produce Ig include, but are not limited to, hybridomas, lymphomas,  
15 plasmocytomas and EBV transformed cells. A cell can be genetically modified to produce Ig by conventional methods, such as by transfection with a vector encoding an Ig molecule, either before or after transfection with an SC vector.

The cell can be a mammalian, avian, insect, bacterial or yeast cell. Examples of mammalian cells include, but are not limited to, human, rabbit, rodent (e.g., mouse, rat)  
20 and bovine cells. In preferred embodiments, the cell is a myeloma cell, a chinese hamster ovary (CHO) cell, L cell, COS cell, fibroblast, MDCK cell, HT29 cell or a T84 cell.

The Ig molecule can be an IgA, IgM, IgG, IgD or IgE. Preferably, the Ig molecule is an IgA. The Ig molecule can be a domain-modified Ig molecule. Examples of domain-  
25 modified Ig molecules include, but are not limited to, an IgA molecule having the C<sub>H</sub>2 domain of an IgG molecule, or an IgG molecule having the tailpiece of an IgM or IgA molecule, including modification by site-directed mutagenesis.

The invention provides a secretory Ig molecule produced by the method of the invention. In a preferred embodiment, the secretory Ig molecule is a secretory IgA.

### Compositions

The invention also provides a composition comprising a secretory Ig molecule produced by a method of the invention. In one embodiment, the composition is a pharmaceutical composition. In a preferred embodiment, the secretory Ig molecule is a secretory IgA.

- 5 The composition can comprise a therapeutically or prophylactically effective amount of an Ig molecule of the invention. The composition can optionally include a carrier, such as a pharmaceutically acceptable carrier. Pharmaceutically acceptable carriers are determined in part by the particular composition being administered, as well as by the particular method used to administer the composition. Accordingly, there is a wide  
10 variety of suitable formulations of pharmaceutical compositions of the invention. Compositions comprising such carriers are formulated by well known conventional methods (see, for example, Remington's Pharmaceutical Sciences, Chapter 43, 14th Ed., Mack Publishing Co., Easton, PA 18042, USA).

- In one embodiment, the composition is administered topically. Examples of sites for  
15 topical administration include, but are not limited to, the oral cavity and eye, upper and lower respiratory tract, gastrointestinal tract, skin and urogenital regions. Topical administration of Ig molecules to the oral cavity is described in Ma et al., 1998, Nature Med. 4(5):601-606. In another embodiment, the composition is administered intranasally, for example, in the form of drops or spray. Intranasal or intravenous  
20 administration is a preferred method of administration.

- Formulations suitable for parenteral administration, such as, for example, by intraarticular (in the joints), intravenous, intramuscular, intradermal, intraperitoneal, and subcutaneous routes, and carriers include aqueous isotonic sterile injection solutions, which can contain antioxidants, buffers, bacteriostats, and solutes that render the  
25 formulation isotonic with the blood of the intended recipient, and aqueous and non-aqueous sterile suspensions that can include suspending agents, solubilizers, thickening agents, stabilizers, and preservatives.

### Methods of Using Secretory Ig

- The invention additionally provides a method of preventing infection in a subject  
30 comprising administering a secretory Ig molecule or composition of the invention to the

subject. The subject can be a mammal, fish or bird. In one embodiment, the subject is a human. In one embodiment, the infection to be prevented is systemic or at a mucosal surface. The infection can be a bacterial, viral, mycoplasmal, mycobacterial, yeast or parasitic infection. Examples of viral infections include, but are not limited to, HIV,  
5 RSV, HSV, flu virus and cold virus infection.

Also provided is a method of treating an infection in a subject comprising administering a secretory Ig molecule or composition of the invention to the subject. The subject can be a mammal, fish or bird. In one embodiment, the subject is a human. In one embodiment, the infection to be prevented is systemic or at a mucosal surface. The  
10 infection can be a bacterial, viral, mycoplasmal, mycobacterial, yeast or parasitic infection.

Viral infections that can be treated include, but are not limited to, those caused by hepatitis A, hepatitis B, hepatitis C, non-A, non-B hepatitis, hepatitis delta agent, CMV, Epstein-Barr virus (EBV), HTLV I, HTLV II, FeLV, FIV, HIV I, RSV, HSV, flu  
15 virus and cold virus. Bacterial infections that may be treated include, but are not limited to, pneumonia, sepsis, tuberculosis, and *Staphylococcus* infections, among others. Parasitic infections that can be treated include, but are not limited to, malaria (caused by protozoa of the genus *Plasmodium*, and include *P. falciparum*, *P. malariae*, *P. ovale*, and *P. vivax*), sleeping (caused by trypanosomes), and river blindness.

20 The dose of sIg administered to a subject, in the context of the present invention should be sufficient to effect a beneficial therapeutic response in the subject over time, or to inhibit infection. Thus, sIg is administered to a subject in an amount sufficient to alleviate, reduce, cure or at least partially arrest symptoms and/or complications from the disease or infection. An amount adequate to accomplish this is defined as a  
25 "therapeutically effective dose."

The dose will be determined by the activity of the sIg produced and the condition of the subject, as well as the body weight or surface areas of the subject to be treated. The size of the dose also will be determined by the existence, nature, and extent of any adverse side effects that accompany the administration of a particular sIg in a particular subject.  
30 In determining the effective amount of the sIg to be administered, the physician needs to evaluate circulating plasma levels, CTL toxicity, and progression of the disease.

### Advantages of the Invention

The administration of sIg, such as sIgA, offers a method for immunotherapeutic prevention and treatment of infections. Treatment of humans with a sIgA produced in plant cells has been shown to protect against oral streptococcal colonization for at least  
5 four months (Ma et al., 1998, Nature Med. 4(5):601-606). Production of sIg using non-plant cells as provided by the methods of the invention is considerably more efficient than the multi-step process of fusing recombinant plant cells, and avoids alterations of the sIg produced by plant cells. IgA in secretory form is more effective than non-secretory IgA, such as the non-secretory IgA which failed to produce a statistically  
10 significant reduction in hospitalization for lower respiratory tract infection in Phase III trials conducted by OraVax, Inc. (March 3, 1997 press release, available at <http://www.oravax.com>). The production of sIg using a single cell type allows for more efficient production on a commercially useful scale than is possible with the co-culture systems used by others.

15

### EXAMPLES

The following examples are presented to illustrate the present invention and to assist one of ordinary skill in making and using the same. The examples are not intended in any way to otherwise limit the scope of the invention.

#### Example 1

#### 20 Cloning Human Ectoplasmic Domain

To produce sIgA a gene coding for human pIgR was obtained from Dr. Charlotte S. Kaetzel (University of Kentucky, Lexington, KY). A fragment from pIgR containing only the ectoplasmic domain (SC) and lacking the transmembrane and the cytoplasmic domains was generated. A 1402 bp PCR fragment was generated using the complete  
25 human pIgR cDNA in pBluescript (Tamer *et al.*, *Mol. Immunol.* **30** 413-421, 1993, this article and all other articles cited herein are incorporated herein by reference) as template and the primers:

1. 5'-GGGCAGAACGGTGACCATCAACTGCCCTTT-3' (SEQ ID NO:1) and
2. 5'-AAGGAATTCCTACTCTGCAAAAAGCCTGGGGTCCTGAATGGC-3' (SEQ ID NO:2)

The second primer included a silent base change upstream of Glu589 to delete a BamHI site in the SC coding region to facilitate cloning. A stop codon, shown by underlining, followed by an EcoRI site downstream of Glu589 were also included. A stop codon was introduced at amino acid 590, the position of normal SC processing. The fragment was fused to a 1.42 kb Ig 3'-region with a polyA addition site. The PCR product was cloned into TA vector (Invitrogen) and the sequence was confirmed by sequencing. The complete human SC gene was generated by a three way ligation of the EcoRI-KpNI fragment including the Kozak sequence, the leader sequence and the 5'-SC sequence and KpNI-EcoRI PCR fragment into an EcoRI site of pBluescript II KS<sup>+</sup> containing Ig-polyA<sup>+</sup> signal. A 3.28 kb EcoRV-BamHI fragment containing the complete SC gene was ligated downstream of an Ig promoter in a pSV2 expression vector containing the *his* gene as a selection marker.

### Example 2

#### Expression of Cloned Human Ectoplasmic Domain in Cells Secreting Mouse-Human Chimeric IgA1

Sp2/0 transfectants secreting monomeric and dimeric forms of mouse-human chimeric IgA1 have been previously reported (Chintalacharuvu *et al.*, *J. Immunol.* **157** 3443, 1996). Cells secreting mouse-human chimeric IgA1 were transfected with the SC expression vector by electroporation. Sp2/0 cells were plated in 96-well tissue culture plates in presence of Histidinol. The surviving colonies were screened for SC secretion by ELISA using goat anti- $\kappa$  as the trapping antibodies and rabbit anti-human SC as the detecting antibody. The clone producing the highest quantity of sIgA was expanded and adapted to growth in serum free medium.

Since SC is a cleavage product of the pIgR a stop codon was introduced at the site of cleavage (FIG. 1A). Murine transfectomas secreting mouse-human chimeric IgA1 specific for the hapten dansyl (Chintalacharuvu *et al.*, *J. Immunol* **157** 3443, 1996) were transfected with the SC expression vector by electroporation (Coloma *et al.*, *J. Immunol.*



*Meth.* **152** 89, 1992). Transfectants synthesizing and secreting sIgA were identified by ELISA.

### Example 3

#### Analysis of Culture Supernatants

- 5 The levels of sIgA in culture supernatants were determined by ELISA as described previously (Chintalacharuvu *et al.*, *J. Immunol.* **157** 3443, 1996). Microtiter plates coated with dansylated BSA was used to capture sIgA. Bound sIgA was detected by incubation with rabbit antiserum to human SC (Chintalacharuvu *et al.*, *J. Cell. Physiol.* **148** 35, 1991) diluted 1:2000 in phosphate buffered saline (PBS) containing 1% (w/v)
- 10 BSA (PBS-1% BSA). Bound rabbit antibody was detected using an alkaline phosphatase conjugated goat anti-rabbit IgG (Sigma Imm. Chem.) diluted 1:10,000 in PBS-1% BSA. Color was developed by adding 5 mg/ml of disodium p-nitrophenyl phosphate (Sigma Imm. Chem.).

### Example 4

#### Pulse-Chase Experiments *In Vitro*

- Pulse-chase experiments were used to analyze the assembly of the SC and IgA. About  $6 \times 10^6$  cells secreting sIgA were pulsed with 75  $\mu$ Ci of  $^{35}$ [S]cysteine for 20 min. followed by chase with 100 fold unlabeled cysteine. At the specified times, cells were cooled to 0°C and pelleted by centrifugation. Cell lysates and supernatants were
- 20 prepared as described by Mostov *et al.* (*Meth. Enzymol.* **98** 40, 1983). SC and molecules covalently associated with SC were precipitated from cell lysates and supernatants with anti-SC followed by IgGSorb (Mostov *et al.*, *Meth. Enzymol.* **98** 40, 1983). The immunoprecipitates were analyzed by SDS-PAGE in 6% (w/v acrylamide) Tris-Glycine gels under nonreducing conditions. Immunoprecipitations with rabbit
- 25 anti-human SC were performed under conditions such that only IgA covalently associated with SC was precipitated (Mostov *et al.*, *Meth. Enzymol.* **98** 40, 1983). The immunoprecipitates were analyzed by SDS-polyacrylamide gel electrophoresis (SDS-PAGE) in the absence (FIG. 1B) or the presence (FIG. 1C) of a reducing agent.

- Immediately after the pulse a sharp band of SC with a Mr 77 kDa was precipitated from
- 30 the cellular lysate; with time this band became diffuse indicating glycosylation of SC as it moved along the secretory pathway (FIG. 1B). Little covalently associated sIgA was

- observed within the cell although a small amount of H and L chains was observed following reduction of the immunoprecipitates (FIG. 1C). SC was efficiently secreted with 45% of the total SC being secreted into and found in the supernatant by 60 min. and 75% being secreted into and found in the supernatant by 4 hrs. Notably, virtually all of the SC was secreted covalently associated with sIgA. Only a minor band of free SC, with a Mr of 80 kDa, was observed in the supernatant. Densitometric analysis of the secreted proteins showed approximately four  $\alpha$  chains were present per each molecule of SC and J chain suggesting that one molecule of J chain and SC were present per dIgA (data not shown).
- 10 These results show that SC was covalently linked to IgA intracellularly just prior to the time of secretion. In the parental cell line, chimeric IgA1 dimerizes late in the secretory pathway (Chintalacharuvu *et al.*, *J. Immunol* 157 3443, 1996), presumably when J chain was incorporated into the molecule (Koshland, *Ann. Rev. Immunol.* 3 425, 1985). *In vivo*, sIgA is assembled in the transcytotic pathway of epithelial cells (Brandtzaeg, *Scan. J. Immunol* 8 39, 1978; Brandtzaeg *et al.*, *Nature (London)* 311 71, 1984). The assembly of sIgA in the transfected myeloma cells appears to take place in the Golgi apparatus when dIgA and SC are present together. Analysis of concentrated culture supernatant from a transfectant by gel filtration (Chintalacharuvu *et al.*, *Mol. Immunol.* 30 19, 1993) yielded three overlapping peaks with retention volumes of 27.5, 29.5 and 33 ml (FIG. 2). When the fractions were analyzed by ELISA all three peaks were found to contain antibody and SC indicating association of SC with IgA. Supernatants from cells producing only IgA1 yielded two peaks corresponding to dIgA and monomeric IgA (mIgA). No reactivity was seen with anti-SC.
- 15 20

- To further characterize the peaks and to determine if covalent bonds were formed between dIgA and SC in sIgA, the fractions from each of the peaks were concentrated and analyzed by SDS-PAGE and Western blotting (FIG. 3). Anti- $\alpha$  detected a band with apparent Mr of 400 kDa in peak I and two bands of apparent Mr of 400 kDa and 320 kDa in peaks II and III and in the starting material. The 320 kDa band was also observed in supernatants derived from cultures of cells synthesizing only IgA1. Anti-SC detected the 400 kDa in all three peaks indicating that it corresponds to covalently associated sIgA with the 320 kDa band representing dIgA without attached SC. Free SC was observed in the supernatants of cell lines producing only SC. It is noteworthy that
- 25 30

only a small amount of a 80 kDa protein corresponding to free SC was detected in both the unfractionated sIgA and in peak II indicating that the majority of SC synthesized by the transfectant was covalently associated with IgA. *In vivo*, IgA can be found with both covalently and noncovalently attached SC (Schneiderman *et al.*, *Proc. Natl. Acad. Sci. USA* 86 7561, 1989; Knight *et al.*, *J. Immunol* 115 595, 1975).

#### Example 5

##### *In Vivo* Stability of sIgA

To determine the *in vivo* stability of dIgA and sIgA, dIgA and sIgA proteins purified from culture supernatants by dansyl-Sepharose affinity chromatography were radiolabeled with <sup>125</sup>I and introduced into the stomach of BALB/c mice by intubation. The elimination of IgA from the mice was followed by whole body counting (Zuckier *et al.*, *Cancer* 73 794, 1994). dIgA was more rapidly eliminated than sIgA (FIG. 4A). At 150 min. post-intubation, mice were sacrificed and the intestinal contents isolated and processed.

The intestinal contents were isolated and processed by a modified method of Elson *et al.* (*J. Immunol. Meth.* 67 101, 1984). Intestines from duodenum to rectum were removed and injected with 4 ml of PBS pH 7.2, containing 0.1 mg/ml Soybean trypsin inhibitor, 50 mM EDTA and 1 mM PMSF. The intestinal contents were squeezed out into a petri dish on ice, homogenized using a spatula and transferred into a microfuge tube. The homogenate was vortexed and centrifuged at 13,000 x g to separate the particulate material. The extracts were supplemented with 1 mM PMSF and 0.05% (w/v) NaN<sub>3</sub>.

The protein bound radioactivity was determined by TCA precipitation. Two and half hours after intubation of iodinated dIgA and sIgA into the stomach, mice were sacrificed, and the intestinal washes were collected. Dimeric IgA and sIgA in intestinal washes were precipitated with 10% (w/v) TCA and with antibodies. To immunoprecipitate IgA, an aliquot of intestinal washes containing approximately 100,000 cpm of intestinal washes were incubated on ice with anti- $\alpha$  and anti- $\kappa$  followed by protein G Sepharose (Sigma Chemical Co.) in PBS. After washing three times with PBS, the precipitates were counted. Electrophoresis sample buffer was added to the precipitates, boiled and half of the supernatant was analyzed by SDS-PAGE in 5% (w/v acrylamide) phosphate gels. To immunoprecipitate antigen specific

IgA, approximately 100,000 cpm were incubated on ice with dansylated-BSA coupled to Sepharose beads (DNS-BSA-Sepharose). After washing, bound antibody was eluted by incubating the beads for 10 min. on ice in 30  $\mu$ l of 3 mM  $\epsilon$ -dansyl-L-lysine (Sigma Chemical Co.). Half of the eluted proteins was analyzed by SDS-PAGE in 5% (w/v acrylamide) phosphate gels. The gels were dried and exposed to Amersham Hyperfilm-MP for 48 hours.

7.2% of the intubated dIgA and 16.3% of the intubated sIgA were recovered in intestinal washes indicating that intact sIgA was more stable than dIgA, see Table 1.

Table 1

10 Recovery of Iodinated IgA.

Protein	TCA precipitable cpm ( $10^4$ )		Recovered cpm precipitated ( $10^4$ )	
	Intubated	Recovered (% of intubated)	DNS-BSA Sepharose	Anti- $\alpha$ + Anti- $\kappa$
dIgA	343	24.6 (7.2)	2.0	5.0
sIgA	320	52.0 (16.3)	10.4	19.3

Consistent with more of the injected sIgA remaining intact in the intestine, a mixture of anti- $\alpha$  and anti- $\kappa$  chain antiserum precipitated about  $19.3 \times 10^4$  cpm of the sIgA but only  $5.0 \times 10^4$  cpm of the dIgA (Table I). Similarly, antigen (DNS-BSA coupled to Sepharose) precipitated  $10.4 \times 10^4$  cpm of the recovered sIgA but only  $2.0 \times 10^4$  cpm of the recovered dIgA. SDS-PAGE analysis of the IgA precipitated with antigen showed a major band of Mr 55–60 kDa corresponding to Fab fragments in intestinal washes from mice given either sIgA or dIgA (FIG. 4B, Lane 6 and 7). The immunoprecipitates of anti- $\alpha$  and anti- $\kappa$  chain showed a major band of Mr 55–60 kDa corresponding to Fab and Fc fragments with some minor higher molecular weight bands (FIG. 4B, Lane 3 and 4). The slower rate of elimination coupled with the recovery of more total and antigen specific sIgA than dIgA suggest that sIgA is more stable in the intestines than dIgA. However, both dIgA1 and sIgA1 appear to be susceptible to enzymes that cleave the IgA molecule in the hinge region.

In mice, serum dIgA is transported into bile by the pIgR expressed on the hepatocytes and this biliary IgA is emptied into the small intestine. To compare the stability of *in vivo* assembled sIgA with that of sIgA assembled by transfectant of the DNA fragment of the present invention, radiolabeled dIgA1 was injected i.v. into the tail vein of  
5 BALB/c mice. Three hours after injection, mice were sacrificed and the intestinal contents isolated. The antigen specific IgA precipitated from the intestinal washings showed a major band of Mr 55–60 kDa corresponding to Fab (FIG. 4B, Lane 3), similar to that found when dIgA or sIgA were introduced directly into the gastrointestinal tract. These results further confirm that the sIgA assembled in a single cell system is similar to  
10 sIgA assembled *in vivo*.

The development of a single mammalian cell system secreting sIgA makes it possible to produce the quantities of sIgA required for passive immunotherapy and represents a major advance over other methods for producing sIgA. This expression system also represents a major improvement over previous attempts to produce sIgA in *Nicotiana*  
15 *tabacum* plants (Ma *et al.*, *Science* **268** 716, 1995). The current use of human kappa, alpha, and SC genes also renders the resulting sIgA mostly human and, therefore, potentially more useful for *in vivo* therapy. Production of sIgA2, which lacks the protease sensitive hinge region of IgA1 may further enhance the *in vivo* stability of the sIgA molecule produced. Additionally, the large number of available IgA producing  
20 hybridomas with various pathogen specificities can be directly transfected with SC yielding hybridomas producing sIgA. With slight changes in the expression vectors or expression cell line, totally human sIgA can be produced in single cell tissue culture systems. Mammalian cells provide a means to produce sIgA in large quantities using established methods.

25 Secretory immunoglobulin A (sIgA) in external secretions such as milk, saliva, tears and gastrointestinal and genitourinary tract secretions provides the first line of immune defense at the mucosal interface between the body proper and the outside environment. Therapeutic intervention at the mucosal surfaces is feasible by administering IgA to the nasopharyngeal and gastro-intestinal mucosa to protect against pathogens. Monoclonal  
30 IgA antibodies directed against a single epitope on the surface of influenza virus or enteric bacteria have been shown to prevent respiratory disease and epithelial attachment and invasion of the intestines (Renegar *et al.*, *J. Immunol.* **146** 1972, 1991; Weltzin *et*

*al.*, *J. Cell Biology* **108** 1673-1685, 1989; Winner *et al.*, *Infect. Immun.* **59** 977, 1991). Antibodies against Sendai virus, a respiratory pathogen in mice, applied directly to mucosal surfaces by nasal aspiration have been shown to provide protection (Mazanec *et al.*, *J. Virol.* **61** 2624, 1987). However, Mazanec *et al.* also showed that the monoclonal antibodies purified from hybridomas and used in these studies were degraded rapidly in the respiratory tract. It has been shown *in vitro* that sIgA is more resistant to bacterial proteases than serum derived monomeric IgA and polymeric IgA lacking SC suggesting that SC on IgA provides IgA resistance against proteases and thus renders sIgA more effective for therapeutic use.

- 10 In addition, immunotherapeutic treatments will require large quantities of sIgA. The development of one mammalian cell line synthesizing and secreting sIgA provides an optimal system to produce sIgA in large quantities.

The mechanisms of IgA protection are not well understood. However, there is considerable evidence to show that sIgA can crosslink microorganisms and prevent their adhesion to the mucosal epithelium. At present IgA monoclonal antibodies are being used in clinical trials for treatment of rotavirus and enterotoxigenic *Escherichia coli* infections. The hybridomas used in these trials can be transfected with the SC gene of the present invention. The sIgA produced by the resultant transfectants will be more effective and stable than the IgA monoclonals themselves.

- 20 The above description is of one embodiment of the present invention, however, it will be clear to those skilled in the art that various changes and modifications may be made without departing from the spirit of the invention. The invention is to be determined solely in terms of the following claims.

## SEQUENCE LISTING

## (1) GENERAL INFORMATION

- (i) APPLICANT: Morrison, Sherie L.  
Chintalacharuvu, Kote R.
- (ii) TITLE OF THE INVENTION: SECRETORY IMMUNOGLOBULIN PRODUCED  
BY SINGLE CELLS AND METHODS FOR MAKING AND USING  
SAME
- (iii) NUMBER OF SEQUENCES: 4
- (iv) CORRESPONDENCE ADDRESS:
  - (A) ADDRESSEE: Merchant, Gould, Smith, Edell, Welter & Schmidt
  - (B) STREET: 11150 Santa Monica Boulevard, Suite 400
  - (C) CITY: Los Angeles
  - (D) STATE: CA
  - (E) COUNTRY: USA
  - (F) ZIP: 90025
- (v) COMPUTER READABLE FORM:
  - (A) MEDIUM TYPE: Diskette
  - (B) COMPUTER: IBM Compatible
  - (C) OPERATING SYSTEM: DOS
  - (D) SOFTWARE: FastSEQ for Windows Version 2.0
- (vi) CURRENT APPLICATION DATA:
  - (A) APPLICATION NUMBER:
  - (B) FILING DATE: 09-JUN-1998
  - (C) CLASSIFICATION:
- (vii) PRIOR APPLICATION DATA:
  - (A) APPLICATION NUMBER: 60/050,969
  - (B) FILING DATE: 19-JUN-1997
- (viii) ATTORNEY/AGENT INFORMATION:
  - (A) NAME: Canady, Karen S
  - (B) REGISTRATION NUMBER: 39,927
  - (C) REFERENCE/DOCKET NUMBER: 30435.45WO/1
- (ix) TELECOMMUNICATION INFORMATION:
  - (A) TELEPHONE: 310 445-1140
  - (B) TELEFAX: 310 445-9031
  - (C) TELEX:

## (2) INFORMATION FOR SEQ ID NO:1:

- (i) SEQUENCE CHARACTERISTICS:
  - (A) LENGTH: 30 base pairs
  - (B) TYPE: nucleic acid
  - (C) STRANDEDNESS: double
  - (D) TOPOLOGY: linear
- (ii) MOLECULE TYPE: cDNA to mRNA
- (xi) SEQUENCE DESCRIPTION: SEQ ID NO:1:

GGGCAGAACG GTGACCATCA ACTGCCCTTT

30

## (2) INFORMATION FOR SEQ ID NO:2:

- (i) SEQUENCE CHARACTERISTICS:
  - (A) LENGTH: 42 base pairs
  - (B) TYPE: nucleic acid

- (C) STRANDEDNESS: double  
(D) TOPOLOGY: linear

(ii) MOLECULE TYPE: cDNA to mRNA

(xi) SEQUENCE DESCRIPTION: SEQ ID NO:2:

AAGGAATTCC TACTCTGCAA AAGCCTGGG GTCCTGAATG GC

42

(2) INFORMATION FOR SEQ ID NO:3:

(i) SEQUENCE CHARACTERISTICS:

- (A) LENGTH: 1839 base pairs  
(B) TYPE: nucleic acid  
(C) STRANDEDNESS: double  
(D) TOPOLOGY: linear

(ii) MOLECULE TYPE: cDNA to mRNA

(xi) SEQUENCE DESCRIPTION: SEQ ID NO:3:

ATGCTGCTCT	TCGTGCTCAC	CTGCCTGCTG	GCGGTCTTCC	CAGCCATCTC	CACGAAGAGT	60
CCCATTATTG	GTCCCAGGGA	GGTGAATAGT	GTGGAAGGTA	ACTCAGTGTC	CATCACGTGC	120
TACTACCCAC	CCACCTCTGT	CAACCGGCAC	ACCCGGAAGT	ACTGGTGCCG	GCAGGGAGCT	180
AGAGGTGGCT	GCATAACCTT	CATCTCCTCG	GAGGGCTACG	TCTCCAGCAA	ATATGCAGGC	240
AGGGCTAACC	TCACCAACTT	CCCGGAGAAC	GGCACATTTG	TGGTGAACAT	TGCCCAGCTG	300
AGCCAGGATG	ACTCCGGGCG	CTACAAGTGT	GGCCTGGGCA	TCAATAGCCG	AGGCCTGTCC	360
TTTGATGTCA	GCCTGGAGGT	CAGCCAGGGT	CCTGGGCTCC	TAAATGACAC	TAAAGTCTAC	420
ACAGTGGACC	TGGGCAGAAC	GGTGACCATC	AACTGCCCTT	TCAAGACTGA	GAATGCTCAA	480
AAGAGGAAGT	CCTTGTACAA	GCAGATAGGC	CTGTACCCTG	TGCTGGTCAT	CGACTCCAGT	540
GGTTATGTGA	ATCCCAACTA	TACAGGAAGA	ATACGCCTTG	ATATTCAGGG	TACTGGCCAG	600
TTACTGTTCA	GCGTTGTCAT	CAACCAACTC	AGGCTCAGCG	ATGCTGGGCA	GTATCTCTGC	660
CAGGCTGGGG	ATGATTCCAA	TAGTAATAAG	AAGAATGCTG	ACCTCCAAGT	GCTAAAGCCC	720
GAGCCCGAGC	TGGTTTATGA	AGACCTGAGG	GGCTCAGTGA	CCTTCCACTG	TGCCCTGGGC	780
CCTGAGGTGG	CAAACGTGGC	CAAATTCTG	TGCCGACAGA	GCAGTGGGGA	AAACTGTGAC	840
GTGGTCGTCA	ACACCCTGGG	GAAGAGGGCC	CCAGCCTTTG	AGGGCAGGAT	CCTGTCAAC	900
CCCCAGGACA	AGGATGGCTC	ATTCAGTGTG	GTGATCACAG	GCCTGAGGAA	GGAGGATGCA	960
GGGCGCTACC	TGTGTGGAGC	CCATTGCGAT	GGTCAGCTGC	AGGAAGGCTC	GCCTATCCAG	1020
GCCTGGCAAC	TCTTCGTCAA	TGAGGAGTCC	ACGATTCCCC	GCAGCCCCAC	TGTGGTGAAG	1080
GGGGTGGCAG	GAAGCTCTGT	GGCCGTGCTC	TGCCCCTACA	ACCGTAAGGA	AAGCAAAGC	1140
ATCAAGTACT	GGTGTCTCTG	GGAAGGGGCC	CAGAAATGGCC	GCTGCCCCCT	GCTGTGGGAC	1200
AGCGAGGGGT	GGGTTAAGGC	CCAGTACGAG	GGCCGCCTCT	CCCTGCTGGA	GGAGCCAGGC	1260
AACGGCACCT	TCACTGTCAT	CCTCAACCCG	CTCACCAGCC	GGGACGCCGG	CTTCTACTGG	1320
TGTCTGACCA	ACGGCGATAC	TCTCTGGAGG	ACCACCGTGG	AGATCAAGAT	TATCGAAGGA	1380
GAACCAAAAC	TCAAGGTACC	AGGGAATGTC	ACGGCTGTGC	TGGGAGAGAC	TCTCAAGGTC	1440
CCCTGTCACT	TTCCATGCAA	ATTCTCTCG	TACGAGAAAT	ACTGGTGCAA	GTGGATAAAC	1500
ACGGGCTGCC	AGGCCCTGCC	CAGCCAAGAC	GAAGGCCCCA	GCAAGGCCTT	CGTGAAGTGT	1560
GACGAGAACA	GCCGGCTTGT	CTCCCTGACC	CTGAACCTGG	TGACCAGGGC	TGATGAGGGC	1620
TGGTACTGGT	GTGGAGTGAA	GCAGGGCCAC	TTCTATGGAG	AGACTGCAGC	CGTCTATGTG	1680
GCAGTTGAAG	AGAGGAAGGC	AGCGGGGTCC	CGCGATGTCA	GCCTAGCGAA	GGCAGACGCT	1740
GCTCCTGATG	AGAAGGTGCT	AGACTCTGGT	TTTCGGGAGA	TTGAGAACAA	AGCCATTCAG	1800
GATCCCAGGC	TTTTTGACAG	GTAGGAATTC	CTGCAGCCC			1839

(2) INFORMATION FOR SEQ ID NO:4:

(i) SEQUENCE CHARACTERISTICS:

- (A) LENGTH: 608 amino acids  
(B) TYPE: amino acid  
(C) STRANDEDNESS: unknown  
(D) TOPOLOGY: linear

(ii) MOLECULE TYPE: protein

(xi) SEQUENCE DESCRIPTION: SEQ ID NO:4:

Met	Leu	Leu	Phe	Val	Leu	Thr	Cys	Leu	Leu	Ala	Val	Phe	Pro	Ala	Ile
1					5					10				15	
Ser	Thr	Lys	Ser	Pro	Ile	Phe	Gly	Pro	Glu	Glu	Val	Asn	Ser	Val	Glu





Ala	Val	Glu	Glu	Arg	Lys	Ala	Ala	Gly	Ser	Arg	Asp	Val	Ser	Leu	Ala
				565					570					575	
Lys	Ala	Asp	Ala	Ala	Pro	Asp	Glu	Lys	Val	Leu	Asp	Ser	Gly	Phe	Arg
			580					585					590		
Glu	Ile	Glu	Asn	Lys	Ala	Ile	Gln	Asp	Pro	Arg	Leu	Phe	Ala	Glu	Glx
		595					600					605			

What is claimed is:

1. A method of producing secretory Ig molecules comprising transfecting a cell producing an Ig with a polynucleotide encoding secretory component (SC) to form SC transfected Ig producing cells.
- 5 2. The method of claim 1, further comprising collecting a supernatant produced by the cell.
3. The method of claim 2, further comprising purifying sIg from the supernatant.
4. The method of claim 1, wherein the secretory Ig and SC are derived from the same species.
- 10 5. The method of claim 1, wherein the secretory Ig and SC are derived from different species.
6. The method of claim 1, wherein the SC comprises the amino acid sequence shown in SEQ ID NO:4 or a congener thereof.
7. The method of claim 1, wherein the cell endogenously produces Ig.
- 15 8. The method of claim 1, wherein the cell is genetically modified to produce Ig.
9. The method of claim 1, wherein the cell is a mammalian, avian, insect, bacterial or yeast cell.
10. The method of claim 9, wherein the mammalian cell is a human, rabbit, murine, rat or bovine cell.
- 20 11. The method of claim 1, wherein the cell is a myeloma cell, CHO cell, L cell, COS cell, fibroblast, MDCK cell, HT29 cell or a T84 cell.
12. The method of claim 1, wherein the Ig molecule is an IgA.
13. The method of claim 1, wherein the Ig molecule is a domain-modified IgA.
14. A secretory IgA produced by the method of claim 1.

15. A pharmaceutical composition comprising the secretory IgA of claim 14 and a pharmaceutically acceptable carrier.
16. A method of preventing infection in a subject comprising administering the composition of claim 15 to the subject.
- 5 17. The method of claim 16, wherein the infection is systemic or at a mucosal surface.
18. The method of claim 16, wherein the infection is a bacterial, viral, mycoplasmal, mycobacterial, yeast or parasitic infection.
19. The method of claim 18, wherein the viral infection is with a human  
10 immunodeficiency virus, respiratory syncytial virus, flu virus or cold virus.
20. The method of claim 16, wherein the subject is a mammal, bird or fish.
21. The method of claim 20, wherein the mammal is a human.
22. A method of treating an infection in a subject comprising administering the composition of claim 15 to the subject.
- 15 23. The method of claim 22, wherein the infection is systemic or at a mucosal surface.
24. The method of claim 22, wherein the infection is a bacterial, viral, mycoplasmal, mycobacterial, yeast or parasitic infection.
25. The method of claim 24, wherein the viral infection is a human  
20 immunodeficiency virus, respiratory syncytial virus, flu virus or cold virus infection.
26. The method of claim 22, wherein the subject is a mammal, bird or fish.
27. The method of claim 26, wherein the mammal is a human.

FIGURE 1A

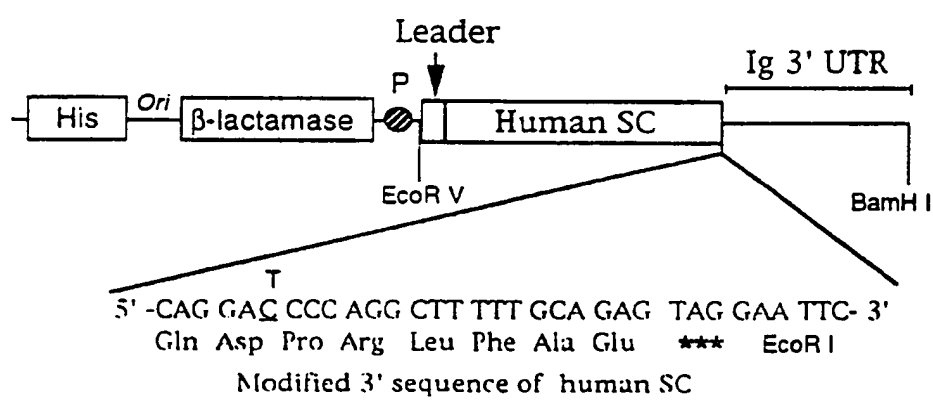
**A**

FIGURE 1B

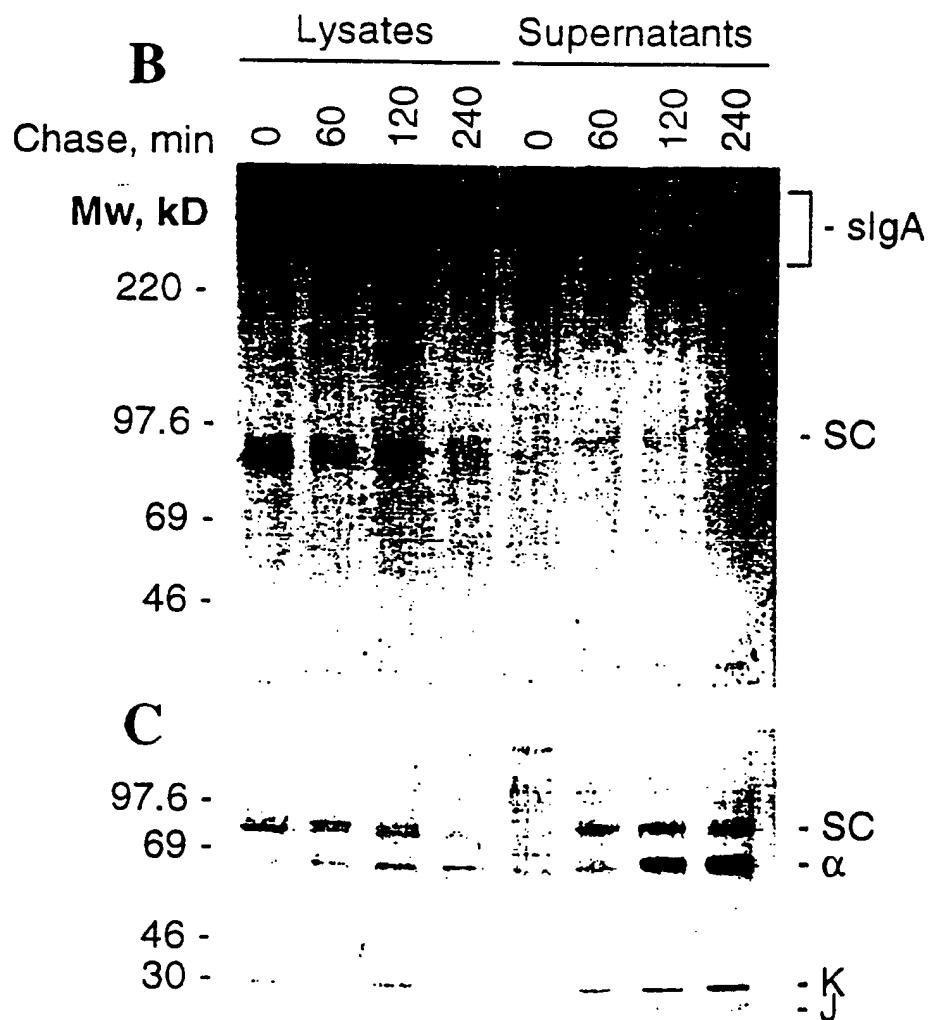


FIGURE 2A and 2B

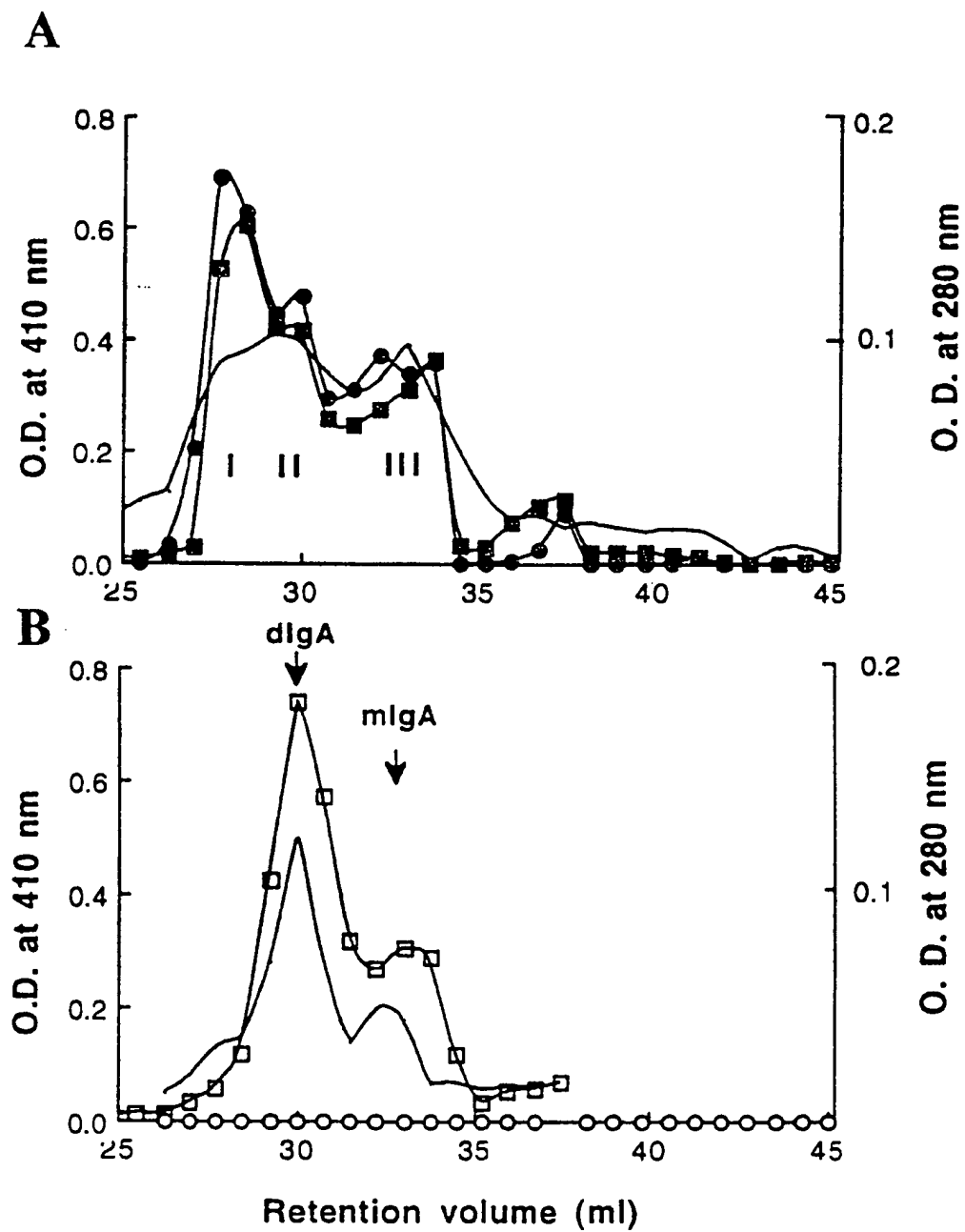


FIGURE 3

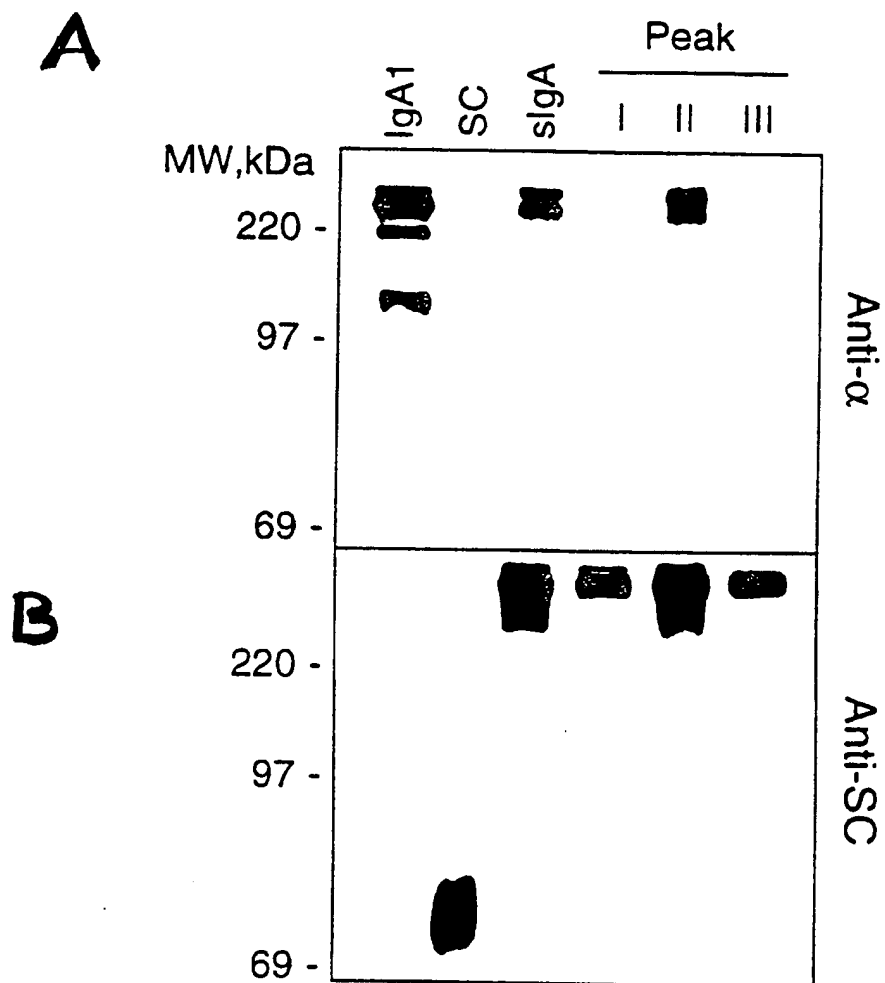




FIGURE 4A

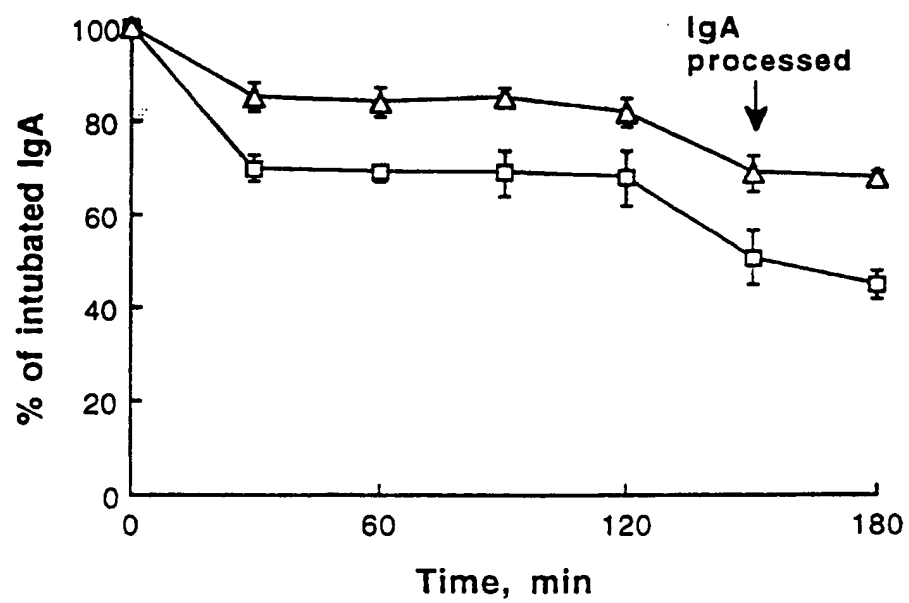
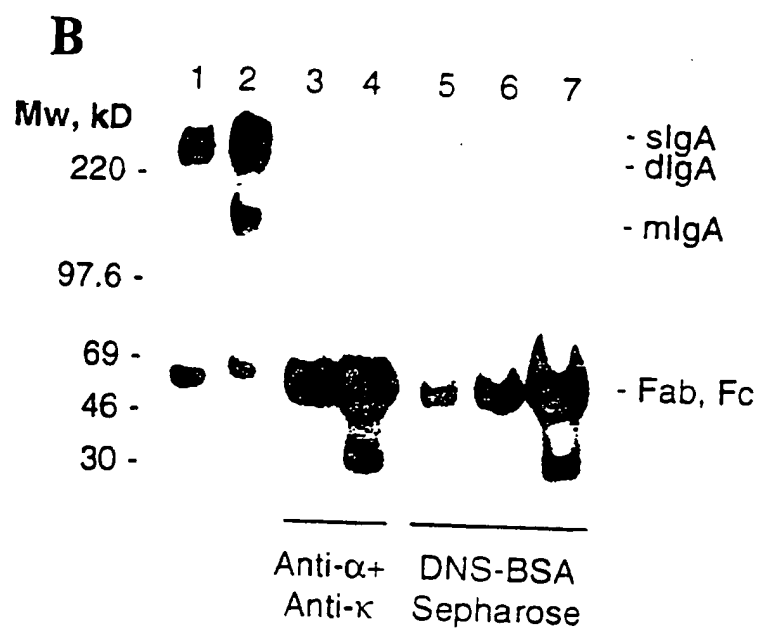
**A**

FIGURE 4B



# INTERNATIONAL SEARCH REPORT

International Application No

PCT/US 98/11975

## A. CLASSIFICATION OF SUBJECT MATTER

IPC 6 C07K16/00 A61K39/395 A61K39/40 A61K39/42

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 6 C07K

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	K. CHINTALACHARUVU ET AL.: "Production of secretory immunoglobulin A by a single mammalian cell." PROCEEDINGS OF THE NATIONAL ACADEMY OF SCIENCES OF THE U.S.A., vol. 94, no. 12, 10 June 1997, pages 6364-6368, XP002081159 Washington, DC, USA see the whole document	1-4, 7-12, 14-27
Y	--- -/--	5,6,13

☒ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

### \* Special categories of cited documents :

"A" document defining the general state of the art which is not considered to be of particular relevance

"E" earlier document but published on or after the international filing date

"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)

"O" document referring to an oral disclosure, use, exhibition or other means

"P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.

"&" document member of the same patent family

Date of the actual completion of the international search

16 October 1998

Date of mailing of the international search report

02/11/1998

Name and mailing address of the ISA

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Authorized officer

Noo1J, F

# INTERNATIONAL SEARCH REPORT

In International Application No

PCT/US 98/11975

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	J. MA ET AL.: "Generation and assembly of secretory antibodies in plants." SCIENCE, vol. 268, no. 5211, 5 May 1995, pages 716-719, XP002081160 Washington, DC, USA cited in the application see abstract see page 716, middle column, line 14 - right-hand column, line 33 ---	5,13
Y	P. KRAJCI ET AL.: "Molecular cloning of the human transmembrane secretory component (poly-Ig receptor) and its mRNA expression in human tissues." BIOCHEMICAL AND BIOPHYSICAL RESEARCH COMMUNICATIONS, vol. 158, no. 3, 15 February 1989, pages 783-789, XP002081161 Duluth, MN, USA see abstract see figures 1,3 ---	6
A	P. MICHETTI ET AL.: "Production and use of monoclonal IgA antibodies complexed with recombinant secretory component for passive mucosal protection." ADVANCES IN EXPERIMENTAL MEDICINE AND BIOLOGY, vol. 310 (Immunol. Milk Neonate), 1991, pages 183-185, XP002081162 New York, NY, USA see the whole document ---	1-27
A	E. LÜLLAU ET AL.: "Antigen binding properties of purified immunoglobulin A and reconstituted secretory immunoglobulin A antibodies." THE JOURNAL OF BIOLOGICAL CHEMISTRY, vol. 271, no. 27, 5 July 1996, pages 16300-16309, XP002081163 Baltimore, MD, USA cited in the application see abstract ---	1-27
A	K. RENEGAR ET AL.: "Passive transfer of local immunity to influenza virus infection by IgA antibody." THE JOURNAL OF IMMUNOLOGY, vol. 146, no. 6, 15 March 1991, pages 1972-1978, XP002081164 Baltimore, MD, USA cited in the application see abstract --- -/--	16-27

# INTERNATIONAL SEARCH REPORT

In. ational Application No

PCT/US 98/11975

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
P, A	<p>WO 97 42313 A (THE SCRIPPS RESEARCH INSTITUTE) 13 November 1997  see examples  see claims</p> <p>-----</p>	1-27

# INTERNATIONAL SEARCH REPORT

International application No.

PCT/US 98/11975

## Box I Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)

This International Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☒ Claims Nos.:  
because they relate to subject matter not required to be searched by this Authority, namely:  
Remark: Although claims 16-27 are directed to a method of treatment of the human/animal body, the search has been carried out and based on the alleged effects of the compound/composition.
2. ☐ Claims Nos.:  
because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful International Search can be carried out, specifically:
3. ☐ Claims Nos.:  
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

## Box II Observations where unity of invention is lacking (Continuation of Item 2 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

1. ☐ As all required additional search fees were timely paid by the applicant, this International Search Report covers all searchable claims.
2. ☐ As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3. ☐ As only some of the required additional search fees were timely paid by the applicant, this International Search Report covers only those claims for which fees were paid, specifically claims Nos.:
4. ☐ No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

### Remark on Protest

☐ The additional search fees were accompanied by the applicant's protest.

☐ No protest accompanied the payment of additional search fees.

## INTERNATIONAL SEARCH REPORT

### Information on patent family members

International Application No.

PCT/US 98/11975

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
WO 9742313 A	13-11-1997	AU 2932397 A	26-11-1997
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